

PUBLIC RECORDS POLICY 12/2008:

Introduction

On February 14, 2008, Governor Ed Rendell signed Act 3, a new Right to Know Law, into law. Most provisions in Pennsylvania's new open records law take effect January 1, 2009. The new law requires government agencies to provide access to and copies of public records to the public. The new law fundamentally changes the law in favor of public access. It presumes that all records held by state and local agencies are public, that all legislative records of the General Assembly are public, and that all financial records of Pennsylvania's court system are public.

Purpose

The importance of public records as the record of actions and the repository of information is recognized. The public has the right to access and procure copies of public records, with certain exceptions, subject to law, this policy and administrative regulations.

Definitions

Financial record – any account, voucher or contract dealing with the receipt or disbursement of funds or acquisition, use or disposal of services, supplies, materials, equipment or property; or the salary or other payments or expenses paid to an officer or employee, including the individual's name and title; and a financial audit report, excluding the audit's underlying work papers.

Public record – a record, including a financial record, that is not protected by a defined privilege or is not exempt from being disclosed under one of the exemptions in Pennsylvania's Right-to-Know Law or under other federal or state law or regulation, or judicial decree or order.

Record – information, regardless of physical form or characteristics, that documents a transaction or activity and is created, received or retained pursuant to law or in connection with a transaction, business or activity, including: a document; paper; letter; map; book; tape; photograph; film or sound recording; information stored or maintained electronically; and a data-processed or image-processed document.

Response – notice informing a requester of a granting of access to a record or the written notice to a requester granting, denying, or partially granting and partially denying access to a requested record.

Requester – a legal resident of the United States, or an agency, who requests access to a record.

Policy and Responsibilities

Public records shall be made available for access and duplication to a requester, in accordance with law, this policy and administrative regulations.

1. Delegation of Responsibility

An Open Records Officer shall be designated, who shall be responsible to:

1. Receive written requests for access to records submitted pursuant to this Policy.
2. Review and respond to written requests in accordance with law, this policy and administrative regulations.
3. Direct requests to other appropriate individuals.
4. Track the progress in responding to requests.
5. Issue interim and final responses to submitted requests.
6. Maintain a log of all record requests and their disposition.
7. Ensure staff are trained to perform assigned job functions relative to requests for access to records.

Upon receiving a request for access to a record, the Open Records Officer shall:

1. Note the date of receipt on the written request.
2. Compute and note on the written request the day on which the five-day period for response will expire.
3. Maintain an electronic or paper copy of the written request, including all documents submitted with the request, until the request has been fulfilled.
4. If the written request is denied, maintain the written request for thirty (30) days or, if an appeal is filed, until a final determination is issued or the appeal is deemed denied.

2. Guidelines

Requesters may access and procure copies of the public records during the regular business hours of the administration offices.

A requester's right of access does not include the right to remove a record from the control or supervision of the Open Records Officer.

The number of records requested shall not be limited.

When responding to a request for access, it shall not be required to create a record that does not exist nor to compile, maintain, format or organize a record in a manner which is not currently utilized.

Information shall be made available to individuals with disabilities in an appropriate format, upon request and with sufficient advance notice.

The following information shall be posted at the administration office and on the web site, if a web site is maintained:

1. Contact information for the Open Records Officer.
2. Contact information for the state's Office of Open Records or other applicable appeals officer.
3. The form to be used to file a request, with a notation that the state Office of Open Records form may also be utilized.
4. Policy, administrative regulations and procedures governing requests for access to the public records.

3. Request for Access

A written request for access to a public record shall be submitted on the required form(s) and addressed to the Open Records Officer.

Written requests may be submitted in person, by mail, to a designated facsimile machine, and to a designated e-mail address.

Each request must include the following information:

1. Identification or description of the requested record, in sufficient detail.
2. Medium in which the record is requested.
3. Name and address of the individual to receive the response.

It shall not be required to provide an explanation of the reason for the request or the intended use of the requested record, unless otherwise required by law.

4. Fees

The fees associated with copying, duplicating, and/or shipping shall be as follows:

Paper copies, 8 ½” x 11”	\$0.25 per page
Paper copies, 8 ½” x 14”	\$0.25 per page
Certification of Record	\$1.00 per record (not per page)
Notarization	At cost, where applicable
Paper copies, 11” x 17”	At cost, where applicable
Fax Copies	\$0.25 per page
Postage	At cost
Conversion of medium	At cost, where applicable

The Authority reserves the right to use vendors to duplicate the public records in cases where the number of pages to be copied is excessive, or additional handling is required, or as otherwise determined by the Authority Manager. The fee for duplication shall then be the Authority’s cost to duplicate the public record. The Authority reserves the right to charge to the requester all costs necessarily incurred by the Authority in complying with the request, and that are not otherwise limited by the Open Records/Right to Know Law, including fees for materials or services not tabulated above.

All duplicates of public records made available for access under this policy shall be available at the Authority’s Business Office for pick up by the requester, or its designated agent, at which time fees shall be due and payable. The requester may make arrangements with the Authority for shipping of duplicates of public records provided fees are paid in advance.

Except for the duplication fee established by the state, a list of reasonable fees relative to requests for public records shall be approved. A list of applicable fees shall be maintained, and disseminated to requesters.

No fee may be imposed for review of a record to determine whether the record is subject to access under law.

Prior to granting access, prepayment of estimated fees may be required when the fees required to fulfill the request are expected to exceed \$100.

Response Program

Duplication fees may be waived when the requester duplicates the record or it is deemed to be in the public interest to do so.

1. Response To Request

Employees shall be directed to immediately forward requests for access to public records to the Open Records Officer.

Upon receipt of a written request for access to a record, the Open Records Officer shall determine if the requested record is a public record and if it has the same in its possession, custody or control of that record.

The Open Records Officer shall respond as promptly as possible under the existing circumstances, and the initial response time shall not exceed five (5) business days from the date the written request is received by the Open Records Officer.

The initial response shall grant access to the requested record; deny access to the requested record; partially grant and partially deny access to the requested record; notify the requester of the need for an extension of time to fully respond; or request more detail from the requester to clearly identify the requested material.

If there is a failure to respond to a request within five (5) business days of receipt, the request for access shall be deemed denied.

2. Extension of Time

If the Open Records Officer determines that an extension of time is required to respond to a request, in accordance with the factors stated in law, written notice shall be sent within five (5) business days of receipt of request. The notice shall indicate that the request for access is being reviewed, the reason that the review requires an extension, a reasonable date when the response is expected, and an estimate of applicable fees owed when the record becomes available.

Up to a thirty (30) day extension for one (1) of the listed reasons does not require the consent of the requester. If the response is not given by the specified date, it shall be deemed denied on the day following that date.

A requester may consent in writing to an extension that exceeds thirty (30) days, in which case the request shall be deemed denied on the day following the date specified in the notice if the Open Records Officer has not provided a response by that date.

3. Granting Of Request

If the Open Records Officer determines that the request will be granted, the response shall inform the requester that access is granted and either include information on the regular business hours of the administration office, provide electronic access, or state where the requester may go to inspect the records or information electronically at a publicly accessible site. The response shall include a copy of the fee schedule in effect, a statement that prepayment of fees is required in a specified amount if access to the records will cost in excess of \$100, and the medium in which the records will be provided.

A public record shall be provided to the requester in the medium requested if it exists in that form; otherwise, it shall be provided in its existing medium. However, the use of its computers shall not be permitted.

The Open Records Officer may respond to a records request by notifying the requester that the record is available through publicly accessible electronic means or that it shall provide access to inspect the record electronically. If the requester, within thirty (30) days following receipt of notice, submits a written request to have the record converted to paper, access shall be provided in printed form within five (5) days of receipt of the request for conversion to paper.

A public record that is not possessed, but is possessed by a third party with whom has been contracted to perform a governmental function and which directly relates to that governmental function shall be considered a public record. When such a third party is contracted with, it shall be required that the contractor agree in writing to comply with requests for such records and to provide the requested record in a timely manner to allow sufficient time to comply with law.

If the Open Records Officer determines that a public record contains information both subject to and not subject to access, the Open Records Officer shall grant access to the information subject to access and deny access to the information not subject to access. The Open Records Officer shall redact from the record the information that is not subject to access. The Open Records Officer shall not deny access to a record if information is able to be redacted.

If the Open Records Officer responds to a requester that a copy of the requested record is available for delivery at the administration office and requester does not retrieve the record within sixty (60) days of the response, the copy shall be disposed of, and any fees paid to date retained.

4. Notification To Third Parties

When a record is produced that is not a public record in response to a request, the Open Records Officer shall notify any third party that provided the record, the person that is the subject of the record, and the requester.

The Open Records Officer shall notify a third party of a record request if the requested record contains a trade secret or confidential proprietary information, in accordance with law and administrative regulations.

5. Denial of Request

If the Open Records officer denies a request for access to a record, whether in whole or in part, a written response shall be sent within five (5) business days of receipt of the request. The response denying the request shall include the following:

1. Description of the record requested.
2. Specific reasons for denial, including a citation of supporting legal authority.

3. Name, title, business address, business telephone number, and signature of the Open Records Officer on whose authority the denial is issued.
4. Date of response.
5. Procedure for the requester to appeal a denial of access.

The Open Records Officer may deny a request for access to a record if the requester has made repeated requests for that same record and the repeated requests have placed an unreasonable burden.

The Open Records Officer may deny a request for access to a record when timely access is not possible due to a disaster, or when access may cause physical damage or irreparable harm to the record. To the extent possible, a record's contents shall be made accessible even when the record is physically unavailable.

Information that is not subject to access and is redacted from a public record shall be deemed a denial.

If a written request for access to a record is denied or deemed denied, the requester may file an appeal with the state's Office of Open Records within fifteen (15) business days of the mailing date of the Open Records Officer's response or deemed denial.

Questions or Clarifications Related to This Policy

All questions or other clarifications of this policy and its related responsibilities should be addressed to the Authority's Manager, who shall be responsible for the administration, revision, interpretation, and application of this policy.

Approval

(North Strabane Township Municipal Authority) Date

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